2-11-07

Sector #3 \$

Bractitioner's Docket No. RAR102.05

PATENT

FEB 0 7 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kempf, Dale and Lum, Ken Application No.: 10/006,970 Group No.: Filed: December 4, 2001 Examiner:

For: Water Control Fixture Having Thermostatically Controlled Bypass Valve

Box Missing Part Assistant Commissioner for Patents Washington, D.C. 20231

ET 582810479 US 🚗

COMPLETION OF FILING REQUIREMENTS -- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed <u>January 2, 2002</u>.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective in that the signature of one of the inventors, Ken Lum, was not included. An original oath or declaration signed by Mr. Lum is attached. Also attached is a Statement by a registered attorney that the application filed in the PTO is the application that the inventor executed by signing the declaration.

Certificate of Express Mailing - 37 C.F.R. § 1.10

Express Mail Label No.: ET582810479US Date of Deposit: 2/7/02

I hereby certify that the papers and/or fees identified in this letter are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Richard A. Rvan

(Completion of Filing Requirements--Nonprovisional Application--page 1 of 2)

COMPLETION FEES

III. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))

\$130.00

Total Completion Fees

\$130.00

EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

V. The total fee due is:

Completion fees \$130.00 Extension fee (if any) \$ 0.00

Total Fee Due

\$130.00

PAYMENT OF FEES

VI. Enclosed is a check in the amount of 130.00.

Date: Z

Richard A. Ryan

Registration No. 39,014

Ryan & Engnath

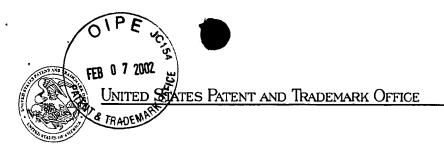
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559-447-1862

Customer No. 29762



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/006,970

12/04/2001

Dale Kempf

RAR102.05

CONFIRMATION NO. 1804

FORMALITIES LETTER

OC00000007252078

Richard A. Ryan RYAN & ENGNATH Suite 104 8469 N. Millbrook Fresno, CA 93720

Date Mailed: 01/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Ken Lum
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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130.00 DP